



RECEIVED

OCT 09 2002

Please type a plus sign (+) inside this box →



Technology Center 2600

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/653,286		
	<b>Filing Date</b>	August 31, 2000	
	<b>First Named Inventor</b>	KAMINAGAYOSHI, Takehiro	
	<b>Group Art Unit</b>	2672	
	<b>Examiner Name</b>	Michael Razavi	
<b>Total Number of Pages in This Submission</b>	4	<b>Attorney Docket Number</b>	72742 [00P244PS-US00]

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  - copies of six (6) references, including Japanese "Rejection of the Application" mailed July 2, 2002 - Return receipt postcard
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Richard E. Wawrzyniak, Reg. No. 36,048 FITCH, EVEN, TABIN & FLANNERY		
<b>Signature</b>			
<b>Date</b>	9/27/02		

**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: **September 27, 2002**

<b>Typed or printed name</b>	Pamela M. Stone		
<b>Signature</b>		<b>Date</b>	September 27, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kaminagayoshi et al.

Application No.: 09/653,286

Filed: August 31, 2000

For: ENTERTAINMENT SYSTEM,  
ENTERTAINMENT APPARATUS,  
RECORDING MEDIUM,  
AND PROGRAM

Group Art Unit: 2672

Examiner: Michael Razavi

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents Washington, DC 20231 on this date.

September 27, 2002  
Date

Pamela M. Stone  
Pamela M. Stone

**RECEIVED**

**OCT. 09 2002**

**Technology Center 2600**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This Information Disclosure Statement is submitted:

  X   Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.

  X   Under 37 CFR §1.97(c) together with either:  
  X   a statement under 37 CFR §1.97(e), or  
       a fee under 37 CFR §1.17(p)  
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

       Under 37 CFR §1.97(d) together with:  
       a statement under 37 CFR §1.97(e), and  
       a fee under 37 CFR §1.17(p)  
(after the 37 CFR §1.97(c) time period, but on or before payment of the issue fee).

  X   Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Information Disclosure Statement  
U.S. App. No. 09/653,286

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with six (6) references listed as items AA-AF.

Copies of all of the items listed on the attached Form PTO-1449 are enclosed.

Concise Explanation of Relevance

The items listed on PTO-1449 are not in the English language.

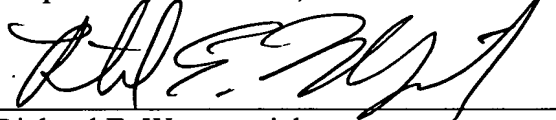
A concise explanation of the relevance of items AA, AB, AC, AD and AE is in the form of a copy of a Japanese "Rejection of the Application" mailed on July 2, 2002, from a foreign patent office and issued in a counterpart foreign application, which refers to relevant portions of the references. The Japanese "Rejection of the Application" is listed as item AF and a copy is included herewith. An English-language translation of pertinent portions of the Japanese "Rejection of the Application" is also included herewith.

In addition, item AA includes an English-language abstract, item AB includes an English-language translation of a portion thereof, item AC includes an English-language abstract and an English-language translation of a portion thereof, and item AD includes an English-language abstract and an English-language translation of a portion thereof.

It is requested that the information provided herein be made of record in this application.

Dated: 9/27/02

Respectfully submitted,



Richard E. Wawrzyniak  
Attorney for Applicant(s)  
Reg. No. 36,048

Address all Correspondence to:

FITCH, EVEN, TABIN & FLANNERY  
120 So. LaSalle Street  
Suite 1600  
Chicago, IL 60603  
Telephone: (858) 552-1311  
Facsimile: (858) 552-0095